

# SANT GADGE BABA AMRAVATI UNIVERSITY GAZETTE



Official Publication of Sant Gadge Baba Amravati University

PART- ONE

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Thursday, the 2<sup>nd</sup> June, 2022

## DIRECTION

No. 33/2022

Dated: 02/6/2022

**Subject : Addendum/Substitutions in the Ordinance No.1 of 2016 in respect of Award of Ph.D.degrees as per UGC Regulation-2016, Direction-2022.**

Whereas, the Ordinance No.1 of 2016 is prescribed in respect of Award of Degree of Doctor of Philosophy (Ph.D.), Recognition of in accordance with UGC (Minimum standard & procedure), UGC Regulation-2016.

AND

Whereas, the said Ordinance No.1 of 2016 has been prescribed when the Maharashtra University Act, 1994 was in prevalence, and the Government has subsequently enforced the Maharashtra Public University Act,2016 from 1st March,2017, superseding the earlier Act.

AND

Whereas, in the Maharashtra Public University Act,2016, in force U/s 34, Four Faculties have been prescribed, prior to this the provision for faculties was prescribed in the Statute-18, which has been inconsistent. So also the Board of Deans is prescribed as Authority in place of Board of University Teaching and Research (BUTR).

AND

Whereas, the above changes are to be brought in the Ordinance No.1 of 2016, and norms regarding supervisors, in the event of their retirement on attainment of age of superannuation or transfer to another college/Institute, or outside the jurisdiction of the University. So also, the opportunity extended to qualified GATE examination candidates on admission to Ph.D. programme in 2019-20 and 2020-21 batches only. These provisions are to be incorporated in the Ordinance No.1 of 2016, but the process of amending it is time-consuming, and Direction U/s 12(8) of the Act is to be issued.

AND

Whereas, the Vice-Chancellor, in view of above has accepted the norms, on behalf of Academic Council and the Management Council U/s 12(7) of the Act, so as to issue the Direction U/s 12(8) of the Act.

Therefore, I Prof Dr. Dileep Malkhede, Vice-Chancellor , Sant Gadge Baba Amravati University, in exercise of powers vested in me, vide Section 12(8) of Maharashtra Public Universities Act, 2016, do hereby issue the following Direction.

1. This Direction may be called as Addendum/Substitutions in the Ordinance No.1 of 2016 in respect of Award of Ph.D. degrees as per UGC Regulation-2016, Direction-2022.
2. This Direction shall come in force from the date of its issuance . The provisions regarding superannuation, transfer of supervisors and admission of GATE examinations candidates, deemed to have been made applicable for the 2019-20 and 2020-21 only.

3. (i) In para 3 of the Ordinance, the faculties from (1) to (9), be deleted and substituted by the followings: -
- (1) Faculty of Science and Technology
  - (2) Faculty of Commerce and Management
  - (3) Faculty of Humanities
  - (4) Faculty of Inter-disciplinary Studies.
- 3.(ii) The authority provided as 'Board University Teaching & Research (BUTR)' be substituted by the Authority as 'Board of Deans' wherever it appears.

(iii) In PART-A-1 of the Ordinance, after the clause (v)(b), the following provisions be added.

(vi) Issue of Superannuation of Supervisor at the time of RRC meeting (however, RAC accorded prior approval to the Research Synopsis and duly forwarded the same along with RAC certificate, Course work completion certificate, etc.): The following provisions are made applicable to the researchers admitted for 2019-20 and 2020-21 batches only.

1. In accordance with the UGC Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees, the university/college, after receiving consent/ willingness to supervise, considering the research track record and fitness of such superannuated teachers to supervise scholars, may decide on his/her continuation as Research Supervisor without financial commitment.

2. If superannuated Supervisor is unwilling to guide the research scholar, he/she should inform the same in writing to the A.R. (Ph.D.Cell). The concerned RAC may recommend other suitable and willing supervisor and submit the case to the Ph.D.Cell. The NOC of the superannuated supervisor and consent of the new supervisor are needed along with the application of the research scholar duly forwarded by the concerned RAC. Consequently, the concerned RRC shall take the appropriate decision in this regard. This is required to be authenticated and approved by the RRC of the University.

3. The superannuated Supervisor may work as the Co-supervisor and the name of the principal Supervisor may be recommended by the concerned RAC. The consents of the superannuated Supervisor as well as newly assigned Supervisor should be submitted to the Ph.D.Cell along with the application of the Research scholar duly forwarded by the RAC. This is required to be authenticated and approved by the RRC of the university.

4. University teachers after superannuation, if they are re-appointed in the parent University as contract or honorary or distinguished or emeritus professor, may continue as Research Supervisors till the age of 70.

5. University teachers after superannuation may continue as co-guides for research students with the consent of the concerned authorities. Retired Professors holding on official position (such as professor Emeritus/Distinguished Professor/Scientist Emeritus) may continue as Supervisors till they continue in the official position. Later they may act as Co-supervisors with the concurrence of the Research Committee and the new Research Supervisor.

(vii) Issue of Transfer of Supervisors ( however RAC accorded prior approval to the Research Synopsis and duly forwarded the same along with RAC certificate, Course work completion certificate, Etc.). The following provisions are made applicable to the researchers admitted for 2019-20 and 2020-21 batches only:

In such cases, the teachers are approved supervisors of the university, however, they may get transferred to such HEI/Institutions, which may not come under the jurisdiction of the university. Sometimes, teacher may join another institution/HEI on lien. Under such unforeseen circumstances, with a view to enable students to complete their research work, the concerned RAC may assign new Supervisor to the candidate with the consent of the transferred supervisor. Such transfers are required to be authenticated and approved by the RRC of the University.

Or

The teachers are approved supervisors of the university; however, they may get transferred to other HEI/Institutions, which may come under the jurisdiction of the university. Under such unforeseen circumstances, with a view to enable students to complete their research work, the concerned RAC may allow the same Supervisor to continue the research guidance to the assigned candidate. Such transfers are required to be authenticated and approved by the RRC of the University.

Or

In such cases, the transferred supervisor may work as a co-supervisor along with the new principal supervisor assigned by the RAC. In all these cases, quota allotted to the co-supervisor as well as the supervisor shall be scrupulously examined and ascertained by the RAC as well as the Ph.D.Cell of the university. Such transfers are required to be authenticated and approved by the RRC of the University.

Or

The teachers are approved supervisors of the university; however, they may join other HEI/Institutions, which may come under the jurisdiction of the university. Under such unforeseen circumstances, with a view to enable students to complete their research work, the concerned RAC may allow the same Supervisor to continue the research guidance to the assigned candidate. The concerned RAC should submit its recommendations to the Ph.D. Cell along with all necessary documentary evidences. Such transfers are required to be authenticated and approved by the RRC of the University.

Or

The teachers are approved supervisors of the university; however, they may join other HEI/Institutions, which may not come under the jurisdiction of the university. Under such unforeseen circumstances, with a view to enable students to complete their research work, the concerned RAC may allow new Supervisor to the Ph.D. aspirant candidate. The concerned RAC should submit its recommendations to the Ph.D. Cell along with all necessary documentary evidences. Such transfers are required to be authenticated and approved by the RRC of the University.

Or

The teachers are approved supervisors of the university; however, they may get transferred to such HEI/Institutions, which may not come under the jurisdiction of the university. If such transferred supervisor has already completed more than 70 % of the total volume of research work of the Ph.D. aspirant student and he/she is also willing to offer research guidance, such a supervisor may be allowed to continue the research guidance to the student provided that the RAC ascertains and verifies the prescribed magnitude/amount of research work completion first, get itself satisfied with the volume of research work accomplished. The magnitude of the research work may be assessed on the basis of the quality of research publications and the research objectives achieved. Magnitude of research work completion certificate issued by the RAC of the parent HEI/Institution shall be submitted by the concerned student to the Ph. D. Cell. Under such circumstances, there is no need of assignment of any co-supervisor from the parent institute/HEI affiliated to Sant Gadge Baba Amravati University, Amravati. Such a transfer shall be authenticated and approved by the RRC of the University.

Notwithstanding, in all such cases, such supervisor, who has been transferred to another institution/HEI (not affiliated to the university) or has joined another institution/HEI (not affiliated to the university) on lien shall not be eligible to register new students.

Nevertheless, if such supervisor, who had been transferred to another institution/HEI (not affiliated to the university) or had joined another institution/HEI (not affiliated to the university) on lien, re-joins/ reinstates the same or other institute/HEI affiliated to the university, shall be eligible to register new students in the research centre as per prevalent procedures laid down by the university. However, such a supervisor shall request the Ph.D. Cell in written application through Head of the Research Centre about reinstatement of his/her supervisor ship in the university.

With regard to the assignment of the co-supervisors for the teachers, who are already approved research supervisors by the university, but relocated at other institutions/HEI not coming under the jurisdiction of the University, the concerned institution/HEI affiliated to the university should establish a legitimate MoU with the new institution/HEI affiliated to the other university. Such collaborations are encouraged by the UGC in the regulations cited above with respect to Minimum Standards and Procedures for award of M.Phil./Ph.D. degrees.

3(iv) In part-B, at B-3.1(a), the following provision be added at the end:-

**GATE Score:** (The following provisions are made applicable to researchers admitted for 2019-20 and 2020-21 batches only):-

According to the UGC Regulations, the admission shall be based on the criteria notified by the University/Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

The candidates having Qualified GATE examination with valid GATE score should be made eligible to take admission to the Ph.D. programmes, regardless of the validity of their GATE score at least for the Academic 2019-20 & 2020-21. Such students shall follow all the procedural formalities of the Ph.D. admission process, as prescribed by the university, later, this decision may be reviewed, if necessary.

For all research students, it would be mandatory for the research centre to maintain all necessary documents like synopsis, presentation report, summary report, plagiarism checking report, periodical review report by the RAC, periodical progress report, etc.

Date: 30 /05/2022

Sd/-  
(Prof.Dr. Dileep Malkhede),  
Vice-Chancellor,  
Sant Gadge Baba Amravati University.

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